

# The British Rhinology Society National COVID-19 Study: Resuming Elective Surgery\*

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## Abstract

**Background:** As elective services resumed in the aftermath of the first wave of the SARS-CoV-2 pandemic, the British Rhinology Society and Juniors Committees carried out a national prospective study in order to assess and optimise safety and efficacy of surgery.

**Methodology:** Data from 1063 cases was collected from 111 centres in the United Kingdom (excluding Northern Ireland) within the study period (1st June – 14th August 2020), and a three week follow-up period to assess whether there were any cases of SARS-CoV-2 amongst patients and staff.

**Results:** 89.2% of procedures took place in England. 90.6% of patients had minimal comorbidities (ASA Grade 1 or 2). 98.4% of patients were known to have a COVID negative status prior to surgery, with the majority (99.8%) investigated through Viral PCR alone. The most common form of pre-operative shielding was to self-isolate for 14 days (82.5% of cases). 32.6% of cases were performed in an alternative theatre environment, and in 5.3% the private sector was used for NHS patients. In 21.6% of procedures, unfamiliar anaesthetic teams were used, and in 19.2% unfamiliar theatre teams. There was a higher probability of unfamiliar theatre staff or anaesthetist, when operating in an alternative theatre environment. Trainees were not present in theatre in 24.2% of cases. Full PPE (Personal Protective Equipment) was worn by the operating surgeon in 64.1% of cases. No patients or staff were reported to have developed SARS-CoV-2 in the three week period following surgery. Intra-operative challenges were reported in 19.7% of cases and were primarily associated with impaired communication (8.8%) or impaired vision (6.9%). There was a higher chance of challenges reported when unfamiliar theatre teams were present.

**Conclusions:** This data suggests that overall, the resumption of rhinological elective services has been performed safely with no cases of SARS-CoV-2 reported in patients or staff. We must consider the challenges of operating in unfamiliar environments together with surgical and/or anaesthetic teams, as well as the impact on training.

**Key words:** rhinology, elective surgery, COVID

## Introduction

The SARS-CoV-2 pandemic has significantly impacted upon the provision of services in healthcare systems throughout the world. In the United Kingdom, in view of the need to prioritise

resources whilst protecting emergency and cancer services during the first wave of the pandemic, cases were initially risk stratified<sup>(1,2)</sup>, and those deemed to be low priority either cancelled or postponed.

Although it has since been confirmed that this was incorrectly reported, an initial case from Wuhan whereby 14 theatre staff members were allegedly infected following transsphenoidal pituitary surgery raised considerable alarm over potential aerosolisation of the virus when performing rhinological surgery<sup>(3,4)</sup>.

As fatalities began to plateau<sup>(5)</sup>, reducing the need for reallocation of resources and the waiting times for elective operating increased<sup>(6)</sup>; there became a stronger emphasis on the resumption of routine elective activity<sup>(7)</sup>. Simultaneously, our understanding of the disease process continues to improve in order to try and undertake this with minimal risk.

In May 2020, NHS England published their roadmap to safely bring back elective activity<sup>(8)</sup>. This framework included the need for careful planning, rigorous monitoring and continuous improvement. However, the results of a survey of 1741 surgeons in June 2020 commissioned by the Royal College of Surgeons of England<sup>(9)</sup>, highlighted significant challenges in re-starting elective surgery, which included a lack of capacity in interdependent services (46%), lack of staff (35%), lack of access to testing, and insufficient PPE (21%).

The British Rhinological Society (BRS) and BRS Juniors' councils highlighted the need to monitor safety during the recommencement of rhinological operating.

### Aims and objectives

The aim of this study was to collect prospective data to assess the safety and challenges encountered when initiating elective rhinological surgery in the United Kingdom following the first wave of the pandemic. Specific objectives included addressing the following:

- When and where are we performing elective rhinological surgery?
- Documentation of the morbidity and mortality associated with elective rhinology operating during a pandemic
- What is the current practice with respect to:
  - Pre-operative testing
  - Personal protective equipment
  - Use of specific equipment
- How has training being affected?
- What challenges do surgeons face?

## Materials and methods

### Data collection

A prospective multicentre audit was conducted over from the 1st of June 2020 to 14th August 2020 for all elective rhinological surgery. Involvement was approved at each centre as a quality improvement project and anonymised data was collected by local ENT teams using a predefined data collection tool (Appendix

1). This included patient characteristics, surgical intervention, use of personal protective equipment (PPE), and complications inclusive of post-operative COVID-19 infection up to three weeks in the postoperative period.

### Categorical variable stratification

Surgical operations were classified into 4 categories (Appendix 2): Diagnostic, Functional, Cancer (histologically confirmed), and Other. Meanwhile, ethnic categories were reclassified into Caucasian versus Non-Caucasian due to the small subset of other ethnicities in this category.

### Statistical methodology

Numerical data were tested with the Shapiro-Wilks test to evaluate the data distribution. The Kruskal-Willis Rank Sum test (kw) was conducted for non-normally distributed data and reported as the median and interquartile range. Categorical data were analysed with the Pearson's Chi-squared test ( $\chi^2$ ) or Fisher's exact test (f). A p-value of  $\leq 0.05$  was set as the statistically significant value. Odds ratios were calculated where associations were explored between the variables of interest. The R version 4.0.3 (2020-10-10) software was used with the associated packages: Tidyverse<sup>(10)</sup>, compareGroup<sup>(11)</sup>, dplyr<sup>(12)</sup>, ggplot2<sup>(13)</sup>, lubridate<sup>(14)</sup>.

## Results

### Basic demographics

Overall, 111 centres in 16 regions in the United Kingdom (excluding Northern Ireland) responded. Fifteen centres reported no elective rhinological surgery during the duration of this audit. From the remaining 96 centres, 1063 procedures were captured during the audit period (Table 1, Figure 1); the proforma had been fully completed in 84% of cases.

The median age at time of procedure was 47.0 years [IQR: 31.0; 60.0] with those who underwent surgery for cancer being the oldest; median age of 53.0 years [IQR: 45.5;64.0]. Male gender was most predominant (59.8%) and 94.1% of procedures were performed on adults; those aged 17-49 years being the predominant subgroup (49.2%). With regards to ethnicity, there were no statistically significant difference between our cohort of patients and the UK population<sup>(15)</sup> between Caucasians and non-Caucasians (p=0.06).

The mean number of rhinological procedures performed in the UK on a week-by-week basis was  $88.6 \pm 45.8$  (equivalent to 18.0 cases  $\pm 9.9$  per day). The majority of procedures were performed in England (89.2%), followed by Scotland (9.2%) and Wales (1.6%). Figure 2 shows the cumulative workload in each nation and Figure 3 shows the types of procedures performed, with functional procedures the most common.

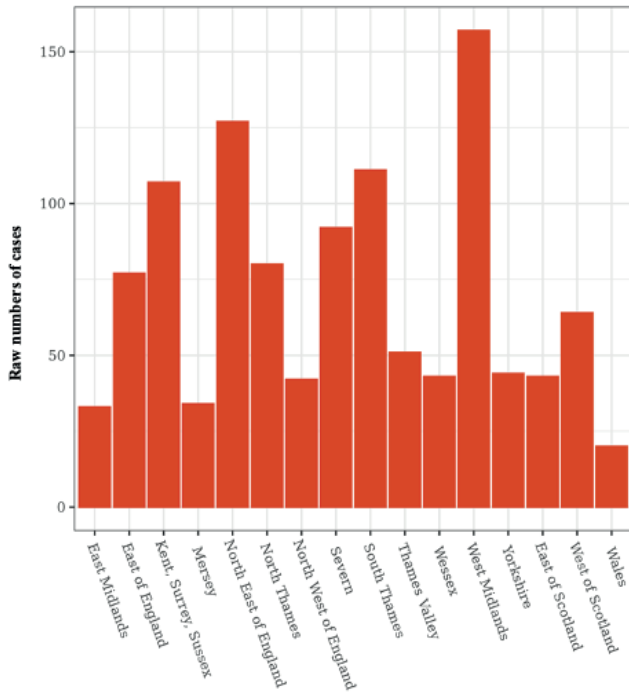


Figure 1. Number of operative procedures performed in each region.

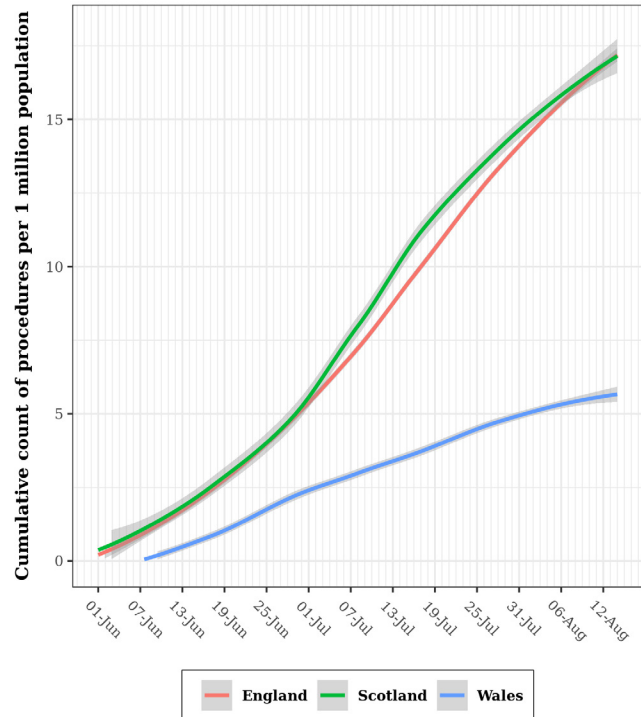


Figure 2. Changes in capacity over time. The nations effect.

**Comorbidities**

The majority of patients (90.6%) had minimal comorbidities and were categorised as either ASA grade 1 and 2 (Table 2) (16). For those with respiratory disease, the odds of having functional procedures were statistically high (OR: 1.89,  $p < 0.001$ ).

**Pre-operative COVID-19 status and management**

1046 (98.4%) of patients were known to have a COVID negative status prior to surgery, with the vast majority (99.8%) undergoing Viral PCR through a nasal/nasopharyngeal swab. Two further patients also underwent imaging in the form a chest radiograph (Table 3). In the remaining 17 (1.6%) cases the COVID status was not reported. The most common form of pre-operative shielding was for patients to self-isolate for a 14 day period prior to surgery (82.5%). 83 patients (7.83%) were reported as having not self-isolated, of whom the majority underwent functional (41%) and diagnostic (36.1%) surgery.

No patients or staff tested positive for COVID-19 at the end of the 3-week follow-up period.

**Familiarity of surgical environment**

Almost one third (32.6%) of operations were carried out in an alternative operating theatre environment, whether this was a different theatre setting within the same hospital (13.8%), or in another hospital altogether (18.8%; Table 4). There were 5.27% of NHS cases being undertaken in the private healthcare system. Whilst 56.4% of functional procedures were carried out in a

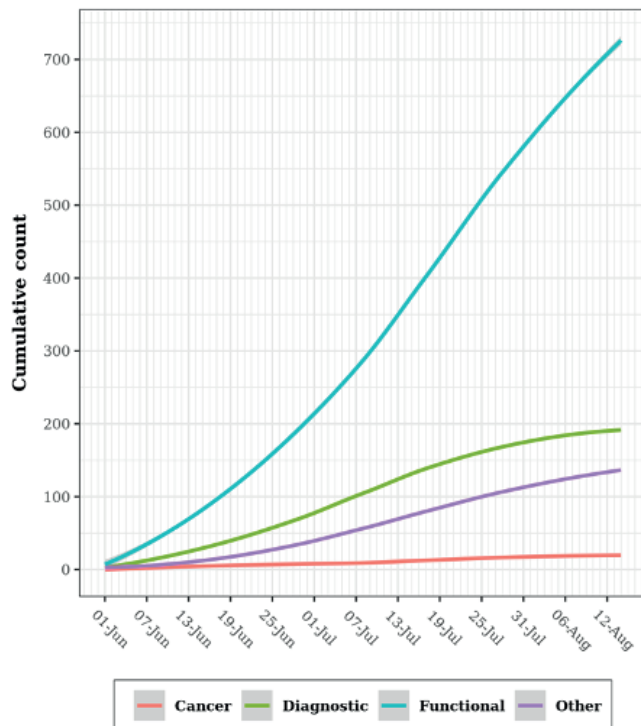


Figure 3. Changes in capacity over time. The operative procedures effect.

hospital with a “COVID-free” status (OR: 1.69 [95% CI:1.30;2.19],  $p < 0.001$ ), this was only the case with 39.7% of diagnostic procedures and 20% of cancer surgery. Unfamiliar anaesthetists were

Table 1. Basic demographics.

	[ALL] N=1063	Cancer N=20	Diagnostic N=194	Functional N=714	Other N=135
Gender:					
Female	427(40.2%)	7(35.0%)	92(47.4%)	268(37.5%)	60(44.4%)
Male	636(59.8%)	13(65.0%)	102(52.6%)	446(62.5%)	75(55.6%)
Age (year old)	47.0[31.0;60.0]	53.0[45.5;64.0]	47.5[28.0;59.8]	47.0[32.0;60.0]	42.0[30.0;60.0]
Age Category (year old):					
≤16	62(5.83%)	0(0.00%)	32(16.5%)	14(1.96%)	16(11.9%)
17-49	523(49.2%)	9(45.0%)	72(37.1%)	377(52.8%)	65(48.1%)
50-59	199(18.7%)	3(15.0%)	41(21.1%)	136(19.0%)	19(14.1%)
60-69	161(15.1%)	7(35.0%)	22(11.3%)	113(15.8%)	19(14.1%)
≥70	118(11.1%)	1(5.00%)	27(13.9%)	74(10.4%)	16(11.9%)
Ethnic Group:					
Caucasian	915(86.2%)	18(90.0%)	171(88.1%)	617(86.5%)	109(80.7%)
Non-Caucasian	147(13.8%)	2(10.0%)	23(11.9%)	96(13.5%)	26(19.3%)
Month:					
June	311(29.3%)	8(40.0%)	74(38.1%)	193(27.0%)	36(26.7%)
July	629(59.2%)	10(50.0%)	105(54.1%)	428(59.9%)	86(63.7%)
August	123(11.6%)	2(10.0%)	15(7.73%)	93(13.0%)	13(9.63%)
Nation:					
England	948(89.2%)	14(70.0%)	153(78.9%)	657(92.0%)	124(91.9%)
Scotland	98(9.22%)	6(30.0%)	32(16.5%)	51(7.14%)	9(6.67%)
Wales	17(1.60%)	0(0.00%)	9(4.64%)	6(0.84%)	2(1.48%)

involved in 21.6% of cases. Meanwhile, 19.2% of cases involved unfamiliar theatre teams. The odds of an unfamiliar anaesthetist (OR: 5.28 [95% CI 3.87;7.26],  $p < 0.001$ ) and theatre teams (OR: 15.7 [95% CI 10.8;23.5],  $p < 0.001$ ) increased significantly if procedures were performed in other places than the usual location.

#### Personal protective equipment and utilisation of powered instrumentation

Full PPE (defined as using both an FFP3 mask/Powerhood or PAPR (Powered Air Purifying Respirator) in conjunction with eye protection; Table 5) was reported to have been worn in 64.1% of the procedures. Full PPE was used by 44.8% of anaesthetists and 48.6% of theatre teams. With respect to the use of powered instrumentation, a powered microdebrider was used in 38.5% of cases, and a powered drill in 7.62% (Table 5). When powered instrumentation was utilised, the operating surgeons were noted to have worn full PPE in 68.1% of cases. The use of powered instruments did not significantly statistically change over time.

#### Impact on training

Trainees were not present in theatre for 24.2% of procedures (Table 6). Overall, they were involved in 72% of procedures, of which the largest cohort was part performing the procedure

with trainer scrubbed (STS).

#### Challenges and outcomes reported

Intra-operative challenges were reported in 19.7% of cases, with the largest proportion of issues being communication in theatre (8.84%) followed by impaired vision (6.87%) due to the use of PPE (Table 7). There was a significant increase in challenges reported when the theatre team was unfamiliar (OR: 1.54 [95% CI 1.06;2.21],  $p: 0.023$ ).

There was a total of 63 (5.9%) reported complications in the data set. Complications were divided into separate categories: epistaxis, post-operative infection, orbital injury, cerebrospinal fluid leak and other (Figure 4). The most common complication was epistaxis ( $n=34$ ), with the requirement for blood transfusion in two cases, and no requirement for further surgical intervention. Infection was reported in 10 cases with one septal abscess returning to theatre for washout. 5 instances of orbital complications were reported, 4 orbital fat exposure, with no visual sequelae reported thereafter, and one case unspecified. 3 cerebrospinal fluid leaks were reported. One case occurred during functional endoscopic sinus surgery for inverted papilloma surgery, and was repaired intra-operatively with no further sequelae. There were two cases following transsphenoidal fenestration of an

Table 2. Comorbidities.

	[ALL] N=1063	Cancer N=20	Diagnostic N=194	Functional N=714	Other N=135
ASA:					
1	495(47.5%)	5(25.0%)	99(52.4%)	330(47.1%)	61(46.2%)
2	449(43.1%)	11(55.0%)	71(37.6%)	316(45.1%)	51(38.6%)
3	95(9.12%)	4(20.0%)	19(10.1%)	53(7.56%)	19(14.4%)
4	3(0.29%)	0(0.00%)	0(0.00%)	2(0.29%)	1(0.76%)
Cardiovascular Disease:					
No	883(83.1%)	15(75.0%)	159(82.0%)	600(84.0%)	109(80.7%)
Yes	180(16.9%)	5(25.0%)	35(18.0%)	114(16.0%)	26(19.3%)
Respiratory Disease:					
No	908(85.4%)	18(90.0%)	179(92.3%)	593(83.1%)	118(87.4%)
Yes	155(14.6%)	2(10.0%)	15(7.73%)	121(16.9%)	17(12.6%)
Previous History of Malignancy:					
No	1044(98.2%)	19(95.0%)	186(95.9%)	706(98.9%)	133(98.5%)
Yes	19(1.79%)	1(5.00%)	8(4.12%)	8(1.12%)	2(1.48%)
Diabetes Mellitus:					
No	1018(95.8%)	19(95.0%)	187(96.4%)	684(95.8%)	128(94.8%)
Yes	45(4.23%)	1(5.00%)	7(3.61%)	30(4.20%)	7(5.19%)

Table 3. COVID status and pre-operative management.

	[ALL] N=1063
Pre-Operative Self-Isolation Period:	
7-Days	30(2.83%)
14-Days	875(82.5%)
Other	72(6.79%)
Did Not Self-Isolate	83(7.83%)
Type Of COVID-19 Test:	
Combination	
Viral PCR & Imaging	2(0.19%)
Viral PCR	1044(99.8%)

arachnoid cyst (n=2). Eleven complications were classified as other; restenosis of choanal atresia repair (n=2), neurological complications (n=3), intolerable pain (n=1), suture granuloma (n=1), low sodium (pituitary surgery) (n=1), septal perforation (n=1), nasal crusting (n=1) and migration of nasal packing into the oropharynx (n=1). There was one mortality due to an anaesthetic complication.

## Discussion

This national study provides us with data on the initiation of rhinological surgery following the first wave of the SARS-CoV-2

pandemic in the United Kingdom and its impact. Overall, 1063 patients were included from 96 centres. The distribution across England and Scotland is reflective of their respective populations from the Office of National Statistics, with a smaller data catchment noted from Wales (1.6% vs 4.9%,  $p < 0.001$ )<sup>(17)</sup>.

### Patient selection

The high proportion of rhinological surgery that is undertaken for functional reasons (67.17%) is reflected in our data. This would be categorised as lower priority according to national guidance provided by ENTUK<sup>(2)</sup> and the Federation of Surgical Specialty Associations<sup>(18)</sup>, and was therefore postponed during the height of the pandemic. Throughout the course of the duration of the study, as elective services resume, a higher proportion of functional rhinological surgery occurred (Figure 3). The majority of this (56%) was being performed in COVID free centres.

We also see that the majority of patients (90.6%) who underwent rhinological surgery had minimal comorbidities (ASA grade 1 or 2). A higher proportion (20%) of those undergoing cancer surgery were ASA 3 or 4 with only 7.8% of those undergoing functional surgery being ASA grades 3 or 4. This suggests that patients deemed to be at higher risk were likely to be postponed wherever possible. The association between those undergoing functional surgery and respiratory disease is reflective of the known association between sinonasal disease and asthma<sup>(19)</sup>.

Table 4. Familiarity of surgical environment.

	[ALL] N=1063
Hospital setting:	
Usual location	716(67.4%)
Alternative within same hospital	147(13.8%)
Different hospital	200(18.8%)
Service utilization:	
NHS Hospital	1007(94.7%)
Private hospital	56(5.27%)
Hospital COVID-19 free status:	
No	503(47.9%)
Yes	547(52.1%)
Operation duration:	
60 mins or less	467(45.5%)
61-120 mins	409(39.9%)
121-180 mins	109(10.6%)
181 mins or longer	41(4.00%)
Length of stay:	
12 hours or less	831(79.8%)
13-24 hours	121(11.6%)
25-48 hours	35(3.36%)
49 hours or longer	55(5.28%)
Anaesthetist:	
Familiar	819(78.4%)
Unfamiliar	225(21.6%)
Theatre team:	
Familiar	845(80.8%)
Unfamiliar	201(19.2%)

### Familiarity of surgical environment

Approximately one third of procedures were performed in an alternative theatre setting, and in one fifth of patients with an unfamiliar anaesthetic and/or theatre team. Unsurprisingly our data shows that it was statistically likely that if surgery occurred in a different theatre setting then one would be operating with a different theatre team. This can impact the surgeon in a number of ways and we have shown there was a significant increase in challenges reported when the theatre team was unfamiliar (OR: 1.54 [95% CI 1.06;2.21], p:0.023). Furthermore, as with every surgical subspecialty, rhinological surgery requires specific anaesthetic considerations during the operative period<sup>(20)</sup>, and this is likely to be at its optimum with an anaesthetist most familiar with the surgical procedure.

### Testing, PPE and complications

It was useful to know that the vast majority of patients (98.4%)

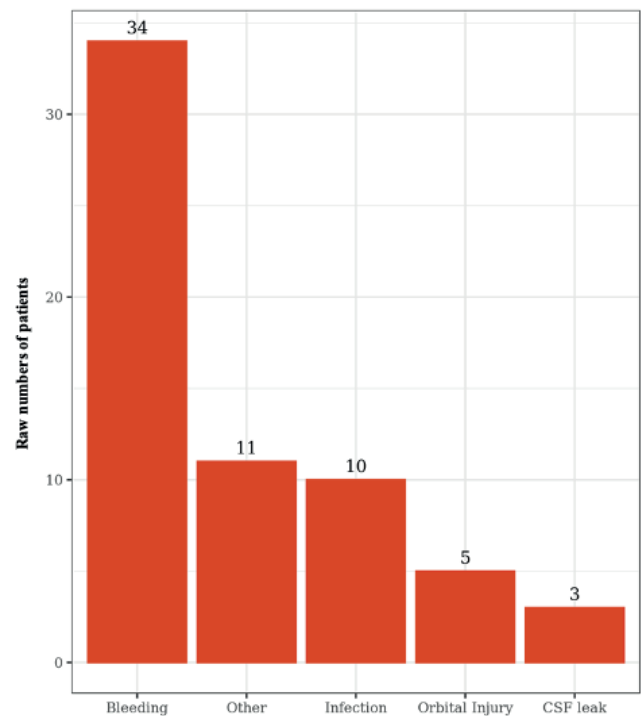


Figure 4. Complications by category.

were assessed to be COVID negative, primarily through Viral PCR and the majority of patients (82.5%) self-isolated for a fourteen day period.

With regards to personal protection in theatre, full PPE was used by 64.1% of surgeons. Adequate PPE is important<sup>(21,22)</sup>. However, full PPE may not be required for all patients. National guidance provided by Public Health England advises that patients should be risk stratified<sup>(23)</sup>, and surgeons undertaking procedures in those who are deemed to be low risk for example can use a surgical mask, and eye protection if necessary. This is particularly important to note due to the challenges reported with the use of PPE (communication issues (8.84%) and visual issues (6.87%)). There were no reports of shortage of PPE for staff members.

One of the main outcomes of this audit was to assess patient and staff safety regarding elective rhinological operating, specifically with respect to viral transmission. Significantly, there were no reported cases of SARS-CoV-2 in patients or staff members post procedure. This suggests that compliance with the current guidance regarding testing and PPE facilitates safe practice with respect to elective rhinological surgery. Further evidence based guidance as we increase our understanding of the disease process is useful<sup>(24,25)</sup>.

The rate of complications overall was 5.9%. This is comparable to previous literature<sup>(26,27)</sup>, and once again highlights the fact that

Table 5. Personal protective equipment and utilisation of powered instrumentation.

	[ALL] N=1063	Cancer N=20	Diagnostic N=194	Functional N=714	Other N=135
<b>Surgeons mask protection:</b>					
Not specified	225(21.2%)	6(30.0%)	41(21.1%)	156(21.8%)	22(16.3%)
Surgical mask	64(6.02%)	2(10.0%)	16(8.25%)	34(4.76%)	12(8.89%)
FFP2	7(0.66%)	0(0.00%)	1(0.52%)	6(0.84%)	0(0.00%)
FFP3	767(72.2%)	12(60.0%)	136(70.1%)	518(72.5%)	101(74.8%)
<b>Eye protection:</b>					
No	195(18.3%)	3(15.0%)	28(14.4%)	137(19.2%)	27(20.0%)
Yes	868(81.7%)	17(85.0%)	166(85.6%)	577(80.8%)	108(80.0%)
<b>Full PPE:</b>					
Not specified	160(15.5%)	5(26.3%)	31(16.7%)	108(15.6%)	16(11.9%)
No	211(20.4%)	4(21.1%)	36(19.4%)	137(19.7%)	34(25.4%)
Yes	662(64.1%)	10(52.6%)	119(64.0%)	449(64.7%)	84(62.7%)
<b>Anaesthetic mask protection:</b>					
Not specified	361(34.0%)	8(40.0%)	66(34.0%)	241(33.8%)	46(34.1%)
Surgical mask	43(4.05%)	1(5.00%)	12(6.19%)	18(2.52%)	12(8.89%)
FFP2	8(0.75%)	0(0.00%)	1(0.52%)	7(0.98%)	0(0.00%)
FFP3	651(61.2%)	11(55.0%)	115(59.3%)	448(62.7%)	77(57.0%)
<b>Eye protection:</b>					
Not specified	452(42.5%)	13(65.0%)	94(48.5%)	291(40.8%)	54(40.0%)
Yes	611(57.5%)	7(35.0%)	100(51.5%)	423(59.2%)	81(60.0%)
<b>Full PPE:</b>					
Not specified	536(50.4%)	12(60.0%)	100(51.5%)	358(50.1%)	66(48.9%)
No	51(4.80%)	1(5.00%)	13(6.70%)	25(3.50%)	12(8.89%)
Yes	476(44.8%)	7(35.0%)	81(41.8%)	331(46.4%)	57(42.2%)
<b>Theatre team mask protection:</b>					
Not specified	249(23.4%)	9(45.0%)	44(22.7%)	167(23.4%)	29(21.5%)
Surgical mask	65(6.11%)	0(0.00%)	15(7.73%)	41(5.74%)	9(6.67%)
FFP2	12(1.13%)	0(0.00%)	1(0.52%)	11(1.54%)	0(0.00%)
FFP3	737(69.3%)	11(55.0%)	134(69.1%)	495(69.3%)	97(71.9%)
<b>Eye protection:</b>					
Not specified	476(44.8%)	15(75.0%)	92(47.4%)	314(44.0%)	55(40.7%)
Yes	587(55.2%)	5(25.0%)	102(52.6%)	400(56.0%)	80(59.3%)
<b>Full PPE:</b>					
Not specified	469(44.1%)	15(75.0%)	86(44.3%)	313(43.8%)	55(40.7%)
No	77(7.24%)	0(0.00%)	16(8.25%)	52(7.28%)	9(6.67%)
Yes	517(48.6%)	5(25.0%)	92(47.4%)	349(48.9%)	71(52.6%)
<b>Drill:</b>					
No	982(92.4%)	17(85.0%)	192(99.0%)	653(91.5%)	120(88.9%)
Yes	81(7.62%)	3(15.0%)	2(1.03%)	61(8.54%)	15(11.1%)
<b>Microdebrider:</b>					
No	654(61.5%)	10(50.0%)	177(91.2%)	361(50.6%)	106(78.5%)
Yes	409(38.5%)	10(50.0%)	17(8.76%)	353(49.4%)	29(21.5%)
<b>Visual aids:</b>					
Non-endoscopic	230(23.9%)	5(27.8%)	39(23.2%)	145(22.3%)	41(33.1%)
Endoscopic	731(76.1%)	13(72.2%)	129(76.8%)	506(77.7%)	83(66.9%)

Table 6. Trainee involvement.

	[ALL] N=1063
Trainee:	
No trainee	252(24.2%)
Observed	39(3.74%)
Assisted	139(13.3%)
Supervised scrubbed (STS)	366(35.1%)
Supervised unscrubbed (STU)	140(13.4%)
Performed (P)	106(10.2%)

despite challenges faced, elective rhinological surgery is being performed safely in the United Kingdom.

### Training and skill retention

In a quarter of cases, trainees were not present in theatre. This highlights some of the issues surrounding the role of junior doctors during the pandemic. The pressure on the medical workforce during the COVID pandemic, together with increased staff sickness, resulted in many junior doctors being redeployed<sup>(28)</sup>. Furthermore, the risk of aerosolisation and the need for a quicker turnover of patients due to limited hospital capacity, often resulted in trainees being less involved. This is compounded by the small number of rhinological procedures performed in a day between all three nations (minimum: 1 case a day, median: 17 cases a day, maximum: 39 cases a day) which could potentially impact upon overall exposure to rhinological procedures. The Joint Committee of Surgical Training has published guidance for trainees to maximise the opportunities available<sup>(29)</sup>.

### Limitations

This is the largest study performed to investigate the current experience in rhinological procedures during the SARS-CoV-2 pandemic. The limitations of our audit are primarily associated with data collection and its quality. The majority of data was collected by trainees, and this does introduce some bias as some procedures that trainees were not directly involved in may not have been captured. There was a follow-up at three weeks to determine whether patients had acquired COVID-19, however it is likely that such data would have been challenging to assess accurately due to the logistical difficulties associated with following up all members of staff, and the rate of untested, minimally symptomatic, or false negative COVID cases. Hence the possibility that some of this data may not have been included should be taken into account.

### Conclusions and considerations for the future

This study suggests that the initiation of elective rhinological

Table 7. Intra-operative challenges.

	[ALL] N=1063
Challenges:	
No	827(80.3%)
Yes	203(19.7%)
Communication issues:	
No comments	969(91.2%)
Yes	94(8.84%)
Vision issues:	
No comments	990(93.1%)
Yes	73(6.87%)

surgery within the United Kingdom in the aftermath of the first SARS-CoV-2 pandemic has been safe with no significant increase in complications noted. Furthermore, there is no evidence to indicate a high rate of SARS-CoV-2 cases being contracted as a direct result of surgery. The use of pre-operative testing and PPE plays an important role in managing the risk involved. PPE should be used as recommended; however it can pose visual and communication issues. Where possible, it would be useful to perform surgery with familiar anaesthetists and theatre staff with experience in rhinological surgery; however, should capacity in the NHS reduce, there may be better utilisation of the private healthcare setting for less complex surgery, preferably with experienced teams. Lastly, it is important to consider the impact on training posed by the pandemic, and wherever possible, training should be facilitated and other methods of surgical training be explored.

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APPENDICES

Appendix 1. Questionnaire.



BRS/Juniors: Elective Rhinological Surgery during COVID-19 Audit Questionnaire

Thank you for participating in this audit. Please select all that apply for each question.

Name of NHS Hospital \_\_\_\_\_

Name of person entering information: \_\_\_\_\_

Patient Demographics

Age (years): \_\_\_\_\_

Gender:  Male  Female

Ethnicity:  White  
 Black  
 South Asian (Indian, Pakistani, Bangladeshi)  
 South-East Asian (Chinese, Korean)  
 Mixed Please state \_\_\_\_\_  
 Other Please state \_\_\_\_\_

Comorbidities:  None  Coronary Artery Disease  
 Diabetes  Stroke  
 Hypertension  Immunosuppression  
 Other. Please state \_\_\_\_\_

Anaesthetic performance status (L-V): \_\_\_\_\_

Pre-operative management

For how long did patient self-isolate prior to surgery?

- Did not self-isolate
- 7 days
- 14 days
- Other. Please state \_\_\_\_\_

COVID status?  Positive  Previous COVID  
 Negative  Unknown

Investigations for COVID?  Nasal swab  Chest X-Ray  
 Pharyngeal swab  CT scan  
 Combined nasal/pharyngeal swab

Surgery

Diagnosis \_\_\_\_\_

Name of procedure \_\_\_\_\_

Date of procedure \_\_\_\_\_

Setting of surgery:  Usual location  
 Alternative within same hospital  
 Different hospital

Is this a "COVID-free" site?  Yes  No

1

BRS/BRSJ COVID Study 2020



Were any of the following visual aids used?

- Endoscope  Microscope
- Loupes  None
- Other: Please state \_\_\_\_\_

Team

Anaesthetist:  Regular  
 Unfamiliar

Theatre Team:  Regular  
 Unfamiliar

Trainee Involvement:  No trainee present  Supervised scrubbed  
 Observed  Supervised unscrubbed  
 Assisted  Performed

PPE Used by Surgical Team:  FFP3 mask  Surgical gown  
 FFP2 mask  Double gloves  
 PAPR Hood  Single gloves  
 Oxygen tent  Single gloves  
 Other: Please state \_\_\_\_\_

Please state manufacturer of mask: \_\_\_\_\_

Eye protection Used by Surgical Team  None  Glasses  
 Visor  Airtight goggles  
 All in one hood  Other: Please state \_\_\_\_\_

Please state manufacturer of eye protection equipment: \_\_\_\_\_

Was it possible to see adequately?  Yes  No

PPE Used by Anaesthetic Team:  FFP3 mask  Surgical gown  
 FFP2 mask  Double gloves  
 PAPR Hood  Single gloves  
 Visor  Disposable hood  
 Oxygen tent  Other: Please state \_\_\_\_\_

PPE Used by other Theatre Staff:  FFP3 mask  Surgical gown  
 FFP2 mask  Double gloves  
 PAPR Hood  Single gloves  
 Visor  Disposable hood  
 Oxygen tent  Other: Please state \_\_\_\_\_

2

BRS/BRSJ COVID Study 2020



Procedure

Instruments used:  Cold Steel  Drill  
 Microdebrider  Electrocautery  
 Other: Please state \_\_\_\_\_

Duration of general anaesthesia:  ≤60minutes  
 61-120 minutes  
 121 - 180 minutes  
 >180 minutes

Any changes in anaesthetic/surgical technique/practice?  Yes  No

Please comment: \_\_\_\_\_  
 \_\_\_\_\_

Are you utilising any additional steps to mitigate against viral transmission?  Yes  No

Please comment: \_\_\_\_\_  
 \_\_\_\_\_

Any challenges in performing surgery?  Yes  No

Please comment: \_\_\_\_\_  
 \_\_\_\_\_

Intra-operative complications?  Yes  No

Please comment: \_\_\_\_\_  
 \_\_\_\_\_

Return to theatre?  Yes  No

If yes, please state reason: \_\_\_\_\_  
 \_\_\_\_\_

Length of inpatient stay:  ≤12 hours  
 12-24 hours (overnight stay)  
 24-48 hours  
 Over 48 hours. Please state \_\_\_\_\_

Delayed discharge? Y/N  
 If yes, please state reason: \_\_\_\_\_  
 \_\_\_\_\_

3

BRS/BRSJ COVID Study 2020



Follow-up (3 weeks)

Patient complications?  Yes  No  
 If yes, please state: \_\_\_\_\_  
 \_\_\_\_\_

Was patient subsequently found to be COVID +ve?  
 No  
 Confirmed COVID  
 Suspected COVID symptoms

Patient mortality?  Yes  No  
 If yes, please state cause of death: \_\_\_\_\_  
 \_\_\_\_\_

In the three weeks following surgery are you aware of?

- a. Staff morbidity/COVID Cases in theatre team?
  - None
  - Surgical team
  - Anaesthetic team
  - Theatre staff
 Please state number of people affected and details: \_\_\_\_\_  
 \_\_\_\_\_
- b. Did the operating surgeon or assistant develop COVID symptoms or test positive?
  - No
  - Yes. Please give details \_\_\_\_\_  
 \_\_\_\_\_

Thank you for completing this questionnaire. Please feel free to state any further comments:  
 \_\_\_\_\_  
 \_\_\_\_\_

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BRS/BRSJ COVID Study 2020

## Appendix 2. Operative procedure categorisation

Operative procedure category	Type of operation
Diagnostic	<ol style="list-style-type: none"> <li>1. Examination under Anesthesia</li> <li>2. Biopsies</li> <li>3. Unilateral procedure for diagnostic purposes ie unilateral sphenoidotomy</li> </ol>
Functional	<ol style="list-style-type: none"> <li>1. FESS</li> <li>2. Septoplasty or Septorhinoplasty due to obstruction only</li> <li>3. CSF leak repair</li> <li>4. Hypophysectomy for decompression</li> </ol>
Cancer	<ol style="list-style-type: none"> <li>1. Confirmed sinonasal or skull-based malignancy</li> </ol>
Other	Other procedures