The nasal cycle: a comprehensive review*

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Abstract

Background: The nasal cycle is the spontaneous, reciprocal congestion and decongestion of the nasal mucosa during the day and it is present in almost 70-80% of healthy adults. The German physician Richard Kayser first described it in 1895. Since then, the number of papers focused on this fascinating issue has continued to flourish.

Main body: Even though there are a high number of publications on this topic, the understanding of nasal cycle is still very poor. The present review tries to offer a comprehensive analysis of this issue investigating all the physiologic and pathologic conditions able to modify the nasal cycle. A section of methods used for its evaluation has been also included in this review.

Conclusion: The influence of the nasal cycle on nasal airflow must be considered during any rhinologic evaluation, especially if investigating the need for septal/turbinates surgery, rather than nasal medical therapy alone. The nasal cycle is a normal phenomenon and must be recognized in order to differentiate it from the pathologic causes of nasal obstruction.

Key words: nasal cycle, nasal patency, nasal airflow, nasal mucosa, pattern, congestion, decongestion

Introduction

The nasal cycle (NC) is the spontaneous congestion and decongestion of the nasal mucosa during the day, where congestion of one side is accompanied by reciprocal decongestion of the contralateral side (Figure 1). It is based on the dilation/constriction of the venous cavernous tissue in the submucosa of the turbinates and septum(1), but also of the ethmoid sinuses(2). It is accepted that almost 70-80% of adults experience a regular NC, but a true periodicity/reciprocity exists only in 21-39% of the population(3,4). NC is considered an ultradian rhythm of side-to-side nasal mucosal engorgement with a phase length ranging from 30 min to 6h(5). As first described, in an ideal cycle, the two air passages should show reciprocal changes of equal amplitude, 180° out of phase, with an identical period and similar mean airflow, with total nasal flow remaining constant(6). Anyway, a NC so described is barely found, because at least one of these three characteristics is not fulfilled(7). This paper presents a review of the literature with the aim to offer an organized presentation issue.

Literature search and selection

A PubMed database search was conducted until March 2018. The initial search yielded a total of 199 publications, published from January 1953 to March 2018. The term “nasal cycle” was used as key word in the title or in the abstract using PubMed advanced search. References were screened for further relevant articles. We excluded papers not written in English, German, French, Spanish or Italian (n=15) and letters to the editor (n=3). After an initial reading of the abstract, articles considered not relevant to the topic were excluded (n=60). A further 11 papers were added after a manual search, while 9 papers were excluded after a full text revision. Finally, 123 papers were considered for

Abbreviations: NC: nasal cycle; MRI: magnetic resonance imaging; AR: acoustic rhinometry; AAR: anterior active rhinomanometry; NAR: nasal airway resistances
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Figure 1. Example of nasal cycle measured by means of Peak Nasal Inspiratory Flow measurements over a period of 7 hours [in red the right nostril (rPNIF), in blue the left nostril (lPNIF)].

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Patterns

Classically, four types of NC have been described with frequencies reported for each pattern often discordant. The "non-cycle nose" was first described in 1981 meaning a nose which does not exhibit cyclic nasal airflow changes. More recently, using acoustic rhinometry (AR), Alnselmo-Lima and Lund tried to define more precisely four types of NCs:

- Classic (reciprocal congestion/decongestion alterations and a constant total volume);
- Parallel (congestion or decongestion appearing in both nasal cavities at the same time);
- Irregular (mutual alteration in nasal volume without a defined pattern and a constant total nasal volume);
- No pattern (total nasal volume and nasal volume in each nostril do not differ).

NC patterns may transform from one to another in the same subject, with patterns’ shifts that could be influenced by environmental or physical factors. Although it was reported that the reciprocal changes in unilateral airflow are present in the majority of subjects, in a recent work a parallel pattern was observed in half of the subjects, while the other half showed a reciprocal pattern.

Independently of the type of pattern, individuals usually are not aware of their NC.

NC control

Congestion and decongestion of the nasal venous cavernous tissue is under the control of the ANS. Nasal venous sinuses have a dense adrenergic innervation, and stimulation of these fibres causes the release of noradrenaline, which results in vasoconstriction and in a reduction of nasal airway resistances (NAR). Physiologically, there is a sympathetic tone at the level of the nasal venous sinuses and the transection or a local anaesthesia of the cervical sympathetic nerves evokes ipsilateral nasal congestion. High spinal cord injury (>T1) is associated with immediate loss of the NC, which appears to slowly recover with time. Selective block of the stellate ganglion is able to alter the NC of the homolateral side, leading to a swelling of the inferior concha accompanied by a pronounced increase of NAR, with a moderate rise of NAR in the contralateral side.

The central regulation of the sympathetic activity at the level of the nose is not completely understood. Hypothalamus has been suggested to be the central controller. In 1983, Eccles...
hypothesised that reciprocal changes in sympathetic tone may be regulated by a "central rhythm" of nervous activity and by sensory input of the nasal mucosa, while in phase changes may be caused by a loose coupling between groups of nasal vasomotor neurons and respiratory neurons[53]. Recently, the same group proposed a control model involving a hypothalamic centre and two brainstem half centres[29]. Bremner showed that variations in sympathetic “tone” at the level of the nasal vessel are perfectly synchronized with variations at the level of the iris muscles, implying that both are under the control of the same central oscillator[41]. However, it must be considered that a variety of external stimuli, such as exercise[42], arterial pCO$_2$[43], emotion[44] and skin temperature changes[45-46], are able to influence the activity of the nasal centres[29]. Airflow through the nose has been supposed to be important in the control of the nasal vasomotor activity[47]. Nevertheless, studies have shown the presence of the NC even in laryngectomized patients, albeit of lower amplitude, in the absence of nasal airflow[48-49]. Hildebrandt suggested the presence of a trigger mechanism of the NC linked to the epithelial lining fluid. A flip-flop circuit could be triggered through a binary signal that can release a set or reset impulse when a certain discrepancy between the nasal cavities is constituted in regard to the occurrence of wall shear stress and the size of the transepithelial potential[39].

### Physiology of NC (Table 1)

#### 1. Age

Usually NC is not present at birth or it is quite rare[34,52]. The exact period when NC appears is not known, but it could occur with the change from obligate to facultative nasal breathing. Once appeared, NC changes with age. Children show shorter cycles than adults, with smaller amplitude of fluctuation in the latter. The mechanism for the age-related change of the NC is not clear, but it seems that the maturation of the ANS during the years may explain it[51]. Mayer found a NC in 79% of children aged 7-10 years and in 50% of children aged 3-6 years[51]. Children showed to have a regular pattern of fluctuations of the NAR, with fluctuations happening in phase[50]. Fisher evaluating NC in children found classic pattern to be the predominant one[50]. In contrast Gallego found that the irregular pattern was the most frequent pattern in children, hypothesising that the immature central control of the NC may explain the passage from an irregular pattern to a classical one with time[57]. With aging, peripheral factors (i.e., changes in the vascular elasticity of the nasal epithelium)[58] and central factors (alterations in central brain mechanisms) may induce changes in NC[59,60]. Therefore, the alternating rhythmicity associated with the NC decreases with age[59,61].

#### 2. Sleep

It seems that there is a correlation between sleep stages and
changes in NAR. Kimura observed an increase in NC duration during sleep and a decrease in the reversal rate of the NC. In 84.6% of cases, spontaneous changes of the NC occurred during the rapid eye movement (REM) sleep. This may be related to a strong sympathetic activation during REM phase that shifts to a parasympathetic dominance in slow wave sleep. During the night sleep, nasal and sleep cycles may become synchronized, so that NC duration is one or more times the duration of the sleep cycle. Rohrmeier found that in normal conditions, NC period, amplitude and duration are significantly greater during sleep than during wakefulness. A relationship between the rhythms of the NC and sleep stage has been confirmed by Fryer. Recently, Ozturk observed that sleep efficiency, NREM stage III, and total sleep duration were greater during left nasal obstruction (right nostril dominant respiration), while apnea-hypopnea-index, frequency of periodic limb movements, and oxygen desaturation were higher during right nasal obstruction (left nostril dominant respiration). However, NC changes are also strictly linked to the posture adopted.

3. Posture
Posture changes can influence nasal airflow. The transition from sitting to supine causes an increase in central venous pressure of up to 8 mmHg due to an increase in hydrostatic pressure. This leads to an increase in congestion of the nasal mucosa and higher NAR independently from the sympathetic control due to the NC. Increased NAR are observed in lateral recumbency where the inferior nostril becomes the congested side. During sleep, changes in NC may coincide with switches in posture from supine to lateral decubitus. Rohrmeier found a phase reversal in the NC after positional shifts in 22% of the subjects. Positional shifts result in early adjustment of the NC, but it soon reverts to its spontaneous pattern if a constant position is maintained. In these changes, positional shifts of the entire body, and not only of the head, appear to be the most important factor. A corporo-nasal reflex, well known by yogis who use it to influence mind-body states, seems to underlie this phenomenon. During postural shifts, the reflex overrides the NC inhibiting it temporarily, but when a lateral posture is sustained, NC begins again with its phase reverted. Hence for a subject with a unilateral septal deviation (NSD), the lateral recumbency on the narrowest side will render the superior side more patent, as a consequence of the corporo-nasal reflex, but if this posture is maintained, the recovery of the NC will spontaneously reverse NAR. NC and postural reflexes may also, in part, explain the breathing disorders during sleep in patients with NSD.

4. Role of NC in respiratory defence
Although it seems that NC may influence mucociliary clearance (MC), data are controversial. A difference of the MC in the two phases of the NC was observed, with a faster clearance rate when the nasal passage is more patent. Conversely, Littlejohn stated that MC is enhanced in the congested phase, while Ingels did not find any relation between the ciliary beat frequency and the degree of patency. Recently, Soane reported MC to be greater in the patent side than in the obstructed one. The variability of the results probably lies in the different methods used or on the criteria used to demonstrate that these differences between nasal passages exist. Sympathetic changes may account for the differences in transport rates, but the reason for a MC difference during the NC is not yet completely understood.

5. Exercise
Exercise strongly influences nasal airflow. The main mechanism responsible for the increase in nasal patency during exercise is played by the sympathetic nervous system which causes a mucosa decongestion with an increase in the nasal airway volume. The increase in nasal volume is transitory, with a greater decline occurring during the first 10 minutes after the cessation of exercise and with a return to the resting values of nasal volume within 20 minutes after the end of exercise. Hillberg found that in the post-exercise period spontaneous variations of the NC are increased in amplitude.

6. Other factors
NC is coupled to an alternating lateralisation of cerebral hemispheric activity in humans. It is possible that the hemisphere contralateral to the dominant nostril (which has the highest sympathetic tone) would have a greater blood flow as a result of the simultaneous parasympathetic activation. A significant relationship between the pattern of nasal airflow and spatial vs. verbal performance has also been reported. NC was demonstrated to influence olfactory perception: mucosal swelling that cyclically obstructs each nostril causes odorants to be drawn into the nostrils at different rates. Olfaction seems not to be influenced by exercise and this can be explained by the fact that the active vasoconstriction of nasal mucosa demonstrated during physical exercise could also be associated with a similar reduction of blood flow to the olfactory cells, thus compensating for the higher flow of odorants reaching the olfactory cleft. In one patient with chronic rhinosinusitis, it has been suggested that NC can modify olfactory perception, even when there is no major effect on nasal patency.

NC has also been hypothesised to influence eustachian tube function and middle ear pressure. However, Knight reported that nasal mucosal changes occurring during the NC do not affect the eustachian tube function. Also humidity of the inspired air may affect NC frequency and amplitude.

No relationship has been found between NAR and skin temperature although both are controlled by the sympathetic vasocon-
Table 2. Main pathologic conditions influencing nasal cycle.

<table>
<thead>
<tr>
<th>Condition</th>
<th>Effect</th>
<th>Note</th>
</tr>
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<tbody>
<tr>
<td>Acute upper respiratory tract infection</td>
<td>Unilateral nasal congestion usually increases with inflammation of the nasal mucosa</td>
<td>Increase of the amplitude of the reciprocal changes&lt;sup&gt;106&lt;/sup&gt;.</td>
</tr>
<tr>
<td>Allergy</td>
<td>Allergen provocation generally increases the amplitude of the nasal cycle</td>
<td>The occurrence and the period of the nasal cycle are not altered&lt;sup&gt;102&lt;/sup&gt;. Nasal response is still asymmetrical with the congested side before the challenge responding in most of cases with greater obstruction&lt;sup&gt;104&lt;/sup&gt;.</td>
</tr>
<tr>
<td>Nasal septal deviation</td>
<td>No differences in terms of occurrence rate and mean duration of the nasal cycle</td>
<td>The amplitude of the changes has been showed to be greater in the wider side&lt;sup&gt;79,107&lt;/sup&gt;.</td>
</tr>
<tr>
<td>OSAS</td>
<td>-</td>
<td>nCPAP influences the normal physiological nasal cycle during the awake breathing&lt;sup&gt;114&lt;/sup&gt;.</td>
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</table>

strictor system<sup>44,46</sup>. Finally, the oestrogen peak during ovulation is often accompanied by nasal congestion, which alters normal NC<sup>93</sup>, and it is well known that pregnant women often complain of nasal obstruction<sup>94</sup>. Although oral contraceptive pills (OCP) have been reported to negatively influence nasal flow<sup>95</sup>, modern OCP have no effects on nasal congestion, probably because of the lower oestrogen content<sup>96-98</sup>.

**Pathologic conditions and NC (Table 2)**

1. **Acute upper respiratory tract infection (URTI)**
   An increase in the NC amplitude after the inoculation of nasal drops containing a Coronavirus was reported<sup>99</sup>. The amplitude of the spontaneous reciprocal changes in NAR increases during URTI, caused by an increased level of unilateral nasal congestion. The inflammation of the nasal mucosa in fact causes the vasodilatation of the resistance vessels and then an increased filling pressure of the nasal sinuses, causing congestion only in the nostril with the lowest sympathetic tone<sup>100</sup>.

2. **Allergic rhinitis**
   Allergic rhinitis strongly influences NC. Huang observed greater amplitudes of nasal patency fluctuation in subjects with a perennial allergic rhinitis when compared to healthy subjects<sup>101</sup>. Nasal allergen provocation testing generally increases the amplitude of the NC in allergic rhinitis patients; however, it does not alter the occurrence and the period of the NC, which remains under the control of the central nervous system<sup>102</sup>. The maximal NAR increase during the late phase reaction in allergic subjects is up to 300%, whereas the maximal increase in controls due to NC is less than 1006<sup>102</sup>. The obstructive response after acute threshold allergen challenge is typically one-sided. The side with higher NAR before the challenge responds in most of cases with greater obstruction<sup>104,106</sup>. When performing nasal challenges, we must remember that NC may confound the test results. NAR changes must be interpreted with caution, and other objective parameters (the nasal secretion amount and the sneezes count) should be used in addition<sup>100</sup>.

3. **Nasal septal deviation**
   The NC has been found in patients with NSD. The congestive phase of the NC obstructs the structurally more patent side, bilateral obstruction occurs; conversely, in the decongestive phase, obstruction is relieved as the structurally wider side returns to being more patent<sup>79,107</sup>. Sung showed that the amplitude of the minimal cross-sectional area changes was greater in the wider than in the narrower side, but no differences in terms of NC occurrence rate and mean duration were noted, suggesting that the generation of the NC is relatively independent from peripheral anatomic factors<sup>106</sup>.

4. **Other pathologies**
   Disturbance of the parasympathetic and sympathetic nerves supplying the nasal mucosa has little influence on the NC, which is often preserved<sup>109</sup>. Conversely, section of the right cervical sympathetic nerve abolishes the NC<sup>79,101,111</sup>. One study evaluated the relation between autism and the NC concluding that patients with autism had no normal NC, as a consequence of an almost continuous left unilateral forced nostril breathing<sup>112</sup>. In addition, a NC has been found to have lower frequency in patients with Parkinson’s disease suggesting that the mechanism controlled by the sympathetic system can exhibit autonomic dysfunction or hypofunction<sup>113</sup>. The NC has also been studied in patients with OSAS: during n-CPAP breathing, both nasal airways experience an elicited geometric pressure that is able to influence the normal physiological NC during awake breathing<sup>114</sup>.

**NC and surgery**

Every surgical procedure targeting turbinates may cause some effects on the NC<sup>28,36,115</sup>. Submucosal diathermy has been reported to cause a reduction in NC amplitude which may be explained by the cauterization of the venous sinuses in the nasal submucosa<sup>100,116</sup>; likewise Tatar reported that submucosal radiofrequency thermal ablation preserves the periodicity of the NC even though it decreases its amplitude<sup>28</sup>. Therefore, partial turbinectomy may permanently damage the regulatory
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Table 3. Main methods used for the evaluation of nasal cycle.

<table>
<thead>
<tr>
<th>Method</th>
<th>Technique</th>
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<tbody>
<tr>
<td>Acoustic rhinometry</td>
<td>Studies nasal geometry by means of reflected sound and gives information about cross sectional areas and nasal volumes within a given distance.</td>
</tr>
<tr>
<td>Anterior active rhinomanometry</td>
<td>Measures the difference in trans-nasal pressure of the airflow through the nasal cavity. The resistance is obtained from these measures by dividing pressure gradient by airflow. In active anterior rhinomanometry the airflow and pressure gradients are measured through the right and left nostril during a normal respiratory cycle only one nostril at a time.</td>
</tr>
<tr>
<td>Computational fluid dynamics</td>
<td>Allows to simulate fluid (either liquid or gas) passing through or around an object with surfaces defined by boundary conditions. The operator can study all the aspects of the nasal airflow by modifying the thickness of the inferior and the middle turbinates, as well as that of the nasal septum. In this way it permits the prediction of fluid movement during different engorgement states of the nasal mucosa in the context of the nasal cycle.</td>
</tr>
<tr>
<td>Long-term rhinoflowmetry</td>
<td>Assesses bilateral nasal flow over a long period of time. Investigates long-term changes of the nasal cycle.</td>
</tr>
<tr>
<td>Magnetic resonance imaging</td>
<td>Studies volume changes of the mucosa within the nasal cavity and paranasal sinuses at different times of the nasal cycle.</td>
</tr>
<tr>
<td>Peak nasal inspiratory flow</td>
<td>Evaluates nasal obstruction by measuring the maximal inspiratory flow through the nose.</td>
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</table>

mechanism for the presence of a turbulent airflow within the NC\textsuperscript{(117,118)}. Conversely, endoscopic sinus surgery has no adverse effects on the NC in terms of pattern of fluctuation, periodicity and amplitude\textsuperscript{(177).}

NC has also been studied in laryngectomized patients and some authors reported that NC disappears after laryngectomy\textsuperscript{(119,120)}, while other did not find any difference with the control group\textsuperscript{(48,49)}.

**NC and drugs**

Nasal decongestants were shown to influence the NC. The administration of nasal topical vasoconstrictor on the congested side is able to cause a prompt cycle reversal\textsuperscript{(117).} While it has been demonstrated that decongestants have little action on the patent side, they cause a significant increase in airflow on the naturally congested side with the least sympathetic nervous activity\textsuperscript{(122-125).} After topical decongestants application, independently from the pattern of NC, the effects last about 5-7 hours in healthy subjects, but only 3.5-6 hours in subjects with nasal pathology, probably due to the increased blood flow observed under inflammatory conditions\textsuperscript{(126,127).} A recent study on intranasal insulin formulation showed no influence on the NC\textsuperscript{(128).}

**Evaluation of the NC (Table 3)**

The evaluation of the NC requires multiple measurements of nasal flow/patency over many hours. Several methods have been used including, among others\textsuperscript{(4,129-130)}, rhinomanometry (RM)\textsuperscript{(10)}, peak nasal inspiratory flow (PNIF)\textsuperscript{(10)}, AR\textsuperscript{(131)} and, more recently, MRI\textsuperscript{(20)}.

Subjective methods are not indicated to evaluate NC as the patients cannot recognize its alterations and about 17% of patients are unable to recognize the obstructed nasal side\textsuperscript{(132)}. However, each of these techniques has its drawbacks in terms of costs, time, reproducibility and patient’ compliance. The main methods used for the NC evaluation are summarized in Table 3. RM has been standardized as a functional test and extended to describe changes of flows and NAR during the NC\textsuperscript{(10,129,130)}. AR is a reproducible and non-invasive technique suitable for the objective assessment of the nasal airflow, also in neonates\textsuperscript{(51,131)} and in circumstances where airflow through the nose is abolished (i.e. after total laryngectomy)\textsuperscript{(48,49)}.

PNIF is a cheap, reproducible and quick method for the objective assessment of nasal airway obstruction, also unilaterally\textsuperscript{(74,83,130)}. It has been recently showed that both PNIF and AAR are reliable methods in the evaluation of the NC with a lower variability in PNIF measurements\textsuperscript{(30)}. These methods provide only a momentary record of nasal function and do not offer long-term assessment.

Keerl demonstrated the changes of the right and left inferior turbinate volume during a NC by means of a continuous endoscopically filmed-recording, using video sequences of approximately 30 seconds length in defined time intervals\textsuperscript{(136)}. Nasal remission spectroscopy allows single-side continuous monitoring of each side of the nose and has been used in the evaluation of the NC\textsuperscript{(137)}.

Long-term rhinoflowmetry offers the opportunity to assess bilateral nasal flow over a long period of time and is considered a valuable method to investigate long-term changes in the NC\textsuperscript{(120,136)}. A new portable device for relatively long-term rhinoflowmetry has also proved to be useful for observing the NC\textsuperscript{(139)}. Daily variations in the nasal mucosal volume have also been evaluated by MRI. Although allowing a good evaluation of the nose, MRI is expensive and thus not useful in large scale studies\textsuperscript{(21)}. In this regard, computational fluid dynamics (CFD) technology has been applied to quantify nasal physiology and its use is increasing. CT or MRI are necessary in order to capture the individual’s nasal anatomy. Three-dimensional digital models of the nasal passages are then created and, through numerical analysis and
data structures, CFD allows simulation of fluid (either liquid or gas) passing through or around an object with surfaces defined by boundary conditions. The operator can study all the aspects of the nasal airflow by modifying the thickness of the inferior and the middle turbinates, as well as that of the nasal septum. In this way it permits the prediction of fluid movement during different states of nasal mucosal engorgement in the context of the NC. Each of these methods evaluates only one aspect of the NC. For this reason their combination may offer a further understanding of nasal physiology. For a proper NC evaluation, it could be suggested to measure unilateral PNIF values every 150 minutes over a total period of 7.5 hours. Considering that NC can be easily influenced by several situations, it must be underlined that its evaluation should not be performed during some conditions, such as allergic rhinitis or URTI.

Conclusions

When deciding between surgery and medical therapy, the influence of the NC must be considered. Instantaneous measurements of nasal airflows may not reflect how the patient feels over an extended period of time. For this reason, it is crucial to recognize the NC as a normal phenomenon and to differentiate it from the pathologic causes of obstruction.

A critical evaluation of nasal patency must be undertaken by the specialist to provide an accurate picture, obtained through a global nasal evaluation. This will include endoscopy and/or radiology, objective measurements for the evaluation of nasal airflow/patency, including dynamic assessment (eg. with and without decongestant) and a validated disease-specific questionnaire.

Declarations

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Consent for publication: the authors give their consent to the publication of the paper

Authorship contribution

ALP: Pubmed research, writing and discussion. VJL: discussion and supervision. EN: PubMed research. GO: writing, discussion and supervision.

Conflict of interest

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